

10/089006 PCT/PTO 22 MAY 2002

10/089006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: TIMOTHY W. GRINSTED ART UNIT:
SERIAL NO.: 10/089,006 EXAMINER:
FILED: CONCURRENTLY HERewith
P.C.T. APPLICATION NO.: PCT/GB00/03615
P.C.T. INTERNATIONAL FILING DATE: SEPTEMBER 21, 2000
EARLIEST PRIORITY CLAIM: SEPTEMBER 21, 1999
TITLE: PLOUGHS

SUBMISSION OF DECLARATION, SMALL ENTITY STATEMENT,
REQUISITE SURCHARGE AND REQUEST FOR REFUND,
ASSIGNMENT AND INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D. C. 20231

Attn: DO/EO/US

Dear Sir:

Applicant in the above-identified patent application
hereby submits the following documents:

"Express Mail" mailing label number EU 337182344 US
Date of Deposit May 21, 2002

I hereby certify that this paper is being deposited with the
U.S. Postal Service "Express Mail - Post Office to Addressee"
service under 37 C.F.R. §1.10 on the date indicated above and
is addressed to: Hon. Commissioner for Patents, United States
Patent and Trademark Office, Washington, D. C. 20231.


Edwin D. Schindler, Reg. No. 31,459

May 21, 2002
Date

1. Declaration/Power of Attorney executed by Applicant;
2. Small Entity Statement (Small Business Concern);
3. Request for Refund under 37 C.F.R. §1.28(a);
4. Assignment w/Cover Sheet; and,
5. Information Disclosure Statement w/Form PTO-1449 and eight (8) references cited in International Search Report.

Authorization to Charge Deposit Account

The Office is hereby authorized to charge the Deposit Account of Applicant's Attorney, Deposit Account No. 19-0450, in the amount of \$105.00 to cover the following fees:

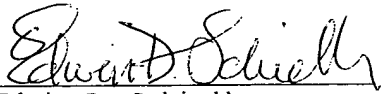
(a) The requisite surcharge of \$65.00 (small entity) for submission of the Declaration subsequent to the 30th-month deadline from the claimed date of foreign priority; and,

(b) The Assignment recordation fee of \$40.00.

Authorization is also given to charge Applicant's Attorney's Deposit Account, Account No. 19-0450, for any additional fees or costs which may be due in connection with the prosecution of the above-identified application. A duplicate copy of this paper is enclosed for billing purposes.

Respectfully submitted,

TIMOTHY W. GRINSTED

By 
Edwin D. Schindler
Attorney for Applicant
Reg. No. 31,459

Five Hirsch Avenue
P. O. Box 9886
Coram, New York 11727-0966
(631)474-5373
May 21, 2002

10/089006

#4

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REQUEST FOR REFUND UNDER 37 C.F.R. §1.28(a)

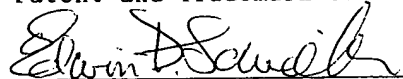
Hon. Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D. C. 20231

Dear Sir:

On March 21, 2002, prior to the 30th-month deadline from the claimed priority date (filed under P.C.T. Chapter II), Applicant remitted the large-entity U.S. National Fee of \$974.00, to cover the Basic U.S. National Fee (\$890.00)

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Edwin D. Schindler, Reg. No. 31,459

May 21, 2002
Date

and the additional claims fee of \$84.00 for the pendency of a fourth independent claim in the application.

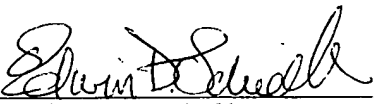
Accompanying the present submission, is a Small Entity Statement (Small Business Concern) for the purpose of allowing Applicant to establish a claim to Small Entity status for the purpose of the payment of certain fees in the U.S. Patent and Trademark Office.

In view of the concurrent submission of the accompanying Small Entity Statement, under the provisions of 37 C.F.R. §1.28(a), Applicant respectfully requests a refund of \$487.00, or one-half of the U.S. National Fee paid on March 21, 2002.

The Office is requested to credit said refund to the Deposit Account of Applicant's Attorney, Account No. 19-0450. A duplicate of this paper is enclosed for billing purposes.

Respectfully submitted,

TIMOTHY W. GRINSTED

By 
Edwin D. Schindler
Attorney for Applicant
Reg. No. 31,459

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